

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SASAKI et al. Examiner: R. WALTERS, Jr.
Serial No.: 10/594,606 Group Art Unit: 1792
Filed: September 28, 2006 Docket: 14434.0111USWO
Title: ARTICLE WITH SILICA-BASED FILM AND PROCESS FOR
PRODUCING THE SAME

CERTIFICATE OF TRANSMISSION

I hereby certify that the papers submitted herewith are being transmitted by EFS Web to: Mail Stop Amendment,
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 16, 2008.

By: Mele Cauffman
Name: Mele Cauffman

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Please note the references cited on Form 1449 were cited in the Supplementary European Search Report dated July 18, 2008 and in the Supplementary European Search Report dated August 6, 2008 of co-pending application Serial No. 10/594,936 filed September 28, 2006. Copies of any foreign patent documents or "Other Documents" are enclosed.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

U.S. 6,855,396 B1 is the English equivalent of WO 01/30922 A. An English Abstract has been enclosed for EP 0 358 011 A. A copy of EP 0 358 011 B1 is also enclosed which has the claims in English. EP 1 541 536 A1 is the English equivalent of WO 2004/011381 A. English Abstracts have been included for the remaining Japanese references.

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- ☐ (1) within three (3) months of the Filing Date, before the mailing date of a First Office Action on the merits, or before the mailing date of a First Office Action on the merits after the filing of a request for continued examination under 37 C.F.R. §1.114; or

- ☒ (2) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and
- ☒ the requisite Statement is below, OR
- ☐ the requisite fee of \$180.00 under Rule 1.17(p) is included herein, or
- ☐ (3) after the mailing date of a Final Rejection or Notice of Allowance but on or before the payment of the Issue Fee, AND the requisite Statement is below AND the requisite fee of \$180.00 under Rule 1.17(p) is included herein.

STATEMENT

Applicants hereby state that:

- ☒ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application or by the USPTO in a related application not more than three months prior to the filing date of the Information Disclosure Statement

- ☐ If this box is checked, Applicant provides the following:

Certification Under 37 C.F.R. §1.704(d)

In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

- ☒ The Examiner is hereby advised of the following co-pending U.S. applications.

<u>Application No.</u>	<u>Filing Date</u>	<u>Group</u>
10/594,936	September 28, 2006	1794

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

FEE AUTHORIZATION

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 50-3478. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &
LARSON, P.C.

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Dated: October 16, 2008

By: 

Douglas P. Mueller

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DPM:mkc

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